

FTSE®/STOXX Defensive Kick Out Plan May 2022

Account Application for Companies and Partnerships

Please complete this form in full using BLOCK CAPITALS and clearly in blue or black ink. For extra applications, visit our website at www.meteoram.com.

1. Your details				
Company/Partnership	0			
Address for correspondence:				
Registered company address:				
Country of incorporation:			Company Number:	
Ultimate Beneficial Owner: (if known)			Tax ref no:	
Contact name:			Tel no:	
Email:				
Legal Entity Identifier	:			
Please list director d separate sheet):	etails for private companies only/	partners in a partnership		ıny additional individuals on a
Currence	Director/Partner 1		Director/Partner 2	
Surname:				
Title/Forename(s):				
Permanent address:				
Date of Birth:				
bute of Birtin.				
The exercise of any op a number is not stipul be Authorised Signato	es (please list any additional indivi- ptions under the Terms and Conditional lated, by at least one authorised signories. Where there is any change to the e entitled to rely on the previous list	ons must be authorised by t nature. Please provide the r the Authorised Signatories,	he requisite number of names and sample sign please notify Meteor in	natures of all those who will
Signed:		Name:	Dat	te:
Signed:		Name:	Dat	te:
Signed:		Name:	Dat	te:
Signed:		Name:	Dat	te:
Signed:		Name:	Dat	te:

2. Tax Status

Please list all countries where the company/partnership is resident for tax purposes (please list any additional countries on a separate sheet). This usually means the country (ies) where you have an obligation to pay taxes or file tax returns. Please also provide the associated Tax Identification Number (TIN). A TIN is the tax reference number issued to you by the tax office in the country where the company/partnership are resident for tax purpose. Where the country does not issue a TIN, please provide an equivalent reference.

Country	TIN	
Country	TIN	
Country	TIN	
Country	TIN	

If the company/partnership has a Global Intermediary Identification Number (GIIN) issued by the US Inland Revenue Service, please provide it in the box below.

GIIN:

3. Provision of Information

Online Communications only

I understand that I will only receive future details of my account via email and online, and that no further paper correspondence will be sent to me.

Paper-based correspondence (0.25% initial charge)

I prefer to continue to receive all details of my account, including valuation statements, by post. I understand that this will incur an additional initial account charge of 0.25%.

Please ensure that a valid email address is inserted in section 1

If you do not have a personal email address, or you would like emails to be sent to a different address please state that email address below.

Alternative email address:

By signing the Declaration for your application you are authorising us to send notifications and information in relation to your investment to the email address you have provided.

For security purposes, please provide us with a password so we can give you information over the telephone:

4. Investment (minimum £5,000)

Plan No.	Plan Name	Amount (£)	Adviser Charge % or £	Total amount including charges
4641	FTSE®/STOXX Defensive Kick Out Plan May 2022			

Please indicate how you have acquired the money you are investing:

Accumulated savings Property Sale

Employment Reinvestment of matured funds

Inheritance Transfer from another provider

Pension lump sum Other (please describe)

Payment:

Please send funds via electronic bank transfer. If your bank is operating Confirmation of Payee, it should confirm that you are paying 'Meteor Investment Management Limited'. The details you require are:

Meteor Investment Management Limited Client Account HSBC Bank plc - Queen Victoria Street Branch Sort Code: 40-05-30 Account Number: 13692752

IBAN: GB21MIDL40053013692752

We strongly discourage cheques as they can increase the risk of your application being delayed and being subject to additional charges. Tick here if you are paying by cheque:

5. Your bank details

Bank: Account holder(s):

Account Number:

Bank sort code: - - Reference (if any)/

Roll number:



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5. Appropriateness Questions and Declaration

1.	Have you been investing for 5 years or more?			Yes	No
2.	Have you received investment advice? If "YES", go to question 4. Please note that if you select "NO" and this Plan requires you to take advice, we will not be able to process your application.			No	
3.		Looking at the categories of investor set out on page 4, which one of the following characteristics best describes you?			
	Bas	sic Investor	Informed Investor		
	Adv	vanced Investor			
4. Please indicate if you hold, or have held, any of the following investments?					
	Baı	nk Deposits	Unit Trusts		
	Dir	rect equity investment	Structured Products		
5.	На	ve you received and read a copy of the K	Key Information Document (KID) for this Plan?	Yes	No
6.	Are	e the following statements true?		Yes	No
	I/W	Ve			
	•	confirm that the potential returns ava	ilable from the Plan are consistent with my/our i	nvestment objec	ctives.
	understand the various factors that will influence the capital and potential returns payable from this Plan, and adverse market conditions, this may mean that I/we will not receive any interest or investment return at all.				
am/are willing and financially able to bear the risk of this investment, including the risk of loss to my/o			our money.		
	understand the benefits of diversification and that this Plan should only form part of my/our overall investment portfolio.			vestment	
	•	_	to be held for the full investment term; and if I/we d depend on the value of the investment at the da ed.		
	•		or all, of my/our investment, including any intere led to if the bank became unable to meet its oblig		
	•	understand the charges associated wi	th the Plan.		
	understand the personal tax implications of my/our investment.				
		understand the compensation arrange	ements applicable to the Plan.		
	am/are the type of investor that this Plan is designed for, as set out on pages 3 and 4 of the brochure.				
If NO, please provide details below:					
	If N	NO, please provide details below:			
6. A	dvis	ser Details			
Firm	Nam	e:	Financial Services Register	Number:	
Advis	dviser: Branch:				

8. Adviser Declaration

- I confirm that all dealings with the investor have been carried out in accordance with the requirements of the FCA Handbook and in accordance with my obligations under Meteor's current Terms of Business.
- I acknowledge my responsibility to evaluate all information on the Plan and confirm that where I have given advice, I have the necessary knowledge and experience to be deemed competent to assess the Plan and its suitability to an applicant's circumstances and investment objectives.
- I have provided the investor with a Plan Brochure and Key Information Document.
- I declare that this application has been completed to the best of my knowledge and belief and I have agreed any adviser charge with the applicant.
- I confirm that I have carried out the appropriate identity checks on all parties relevant to this application and have retained copies of the completed Verification of Identity Certificates and supporting documentation, which I understand Meteor may request at any time and may rely on.
- ▶ I confirm that I have determined that the applicant meets the criteria to invest in this Plan.

Signed:	Date:	

9. Declaration

I/We declare that I/we:

- have carefully read the Key Information Document and the Brochure, including the Plan Terms and Conditions.
- agree that Meteor will hold personal and financial information on me/us for the purposes set out in the Terms and Conditions only.
- accept the terms under which the Plan will be managed and the mode of providing me/us with information concerning the Plan.
- apply as Authorised Parties for an Account to be opened in accordance with Meteor standard Terms and Conditions.
- apply as Authorised Parties for the Plan listed overleaf.
- have completed this form to the best of my/our knowledge and belief and the information given in the application, whether in handwriting or not, is true and complete.
- am/are not, or acting on the behalf of, a resident of the United States; and that I/we will not assist any person who is so resident.
- agree to inform Meteor immediately should I/we become resident(s) of the United States.
- agree to inform Meteor immediately should there be any change in the company/partnership's residency for tax purposes.
- will inform Meteor without delay of any change in my/our circumstances affecting any of the information in this form.

I/We confirm that:

- my/our powers of investment, and delegation of those powers, permit me/us to invest the assets or part of them not less than the part which I/we propose to invest, in the Plan to be applied for.
- I/we understand and agree that any investments in the Plan will be allocated in accordance with my/our instructions to Meteor (which includes any set out in the '4. Investment' section of this application).
- if I/we have received financial advice, I/we confirm my/our financial adviser is not acting as agent to the Issuer or its affiliates.
- I/we have agreed the amount of any initial adviser charge for these investments as shown overleaf and note that the agreed terms will be confirmed to me by Meteor on acceptance of such instruction.

I/We understand that:

- Meteor does not provide investment advice and confirm that I/we either do not require such advice or have received advice on this investment from a financial adviser as shown above.
- ▶ if I/we have not received advice for a Plan which requires me/us to take advice, Meteor will be unable to process my/our application.
- this application and the Terms and Conditions referred to above shall form the basis of the contract between me/us and Meteor. I/we acknowledge receipt of the Terms and Conditions, further copies of which are available on request.

I/We authorise Meteor:

to hold my/our cash subscription, Direct investments, interest, dividends and other rights or proceeds in respect of those investments and any cash or other proceeds.

Signed:	Date:
Signed:	Date:

Note: If you have filled in and signed this Application Form, please send it to Meteor Asset Management Limited, 55 King William Street, London, EC4R 9AD or back to your financial adviser to submit the form.