

FTSE® Defensive Kick Out Plan June 2019

Account Application for Trustees

Please complete this form in full using BLOCK CAPITALS and clearly in blue or black ink. For extra applications, visit our website at www.meteoram.com

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1. Your details		
Trust Name:		
Address for correspondence:		
		Postcode:
Type of Trust (if applicable) :	Name admir	e of nistrators:
Contact Name:		
Email:		Tel no:
Legal Entity Identifier:		
Please list details for Tr	rustees and Beneficiaries with 25% or more beneficial	ownership (additional individuals on a separate sheet):
Beneficiary 1 Full name:		Beneficiary 2
Permanent address:		
	Postcode:	Postcode:
Date of birth:	D D M M Y Y Y Y	D D M M Y Y Y
Occupation:		
National Insurance (NI):		
Trustee 1		Trustee 2
Full name:		
Permanent address:		
	Postcode:	Postcode:
Date of birth:	D D M M Y Y Y	D D M M Y Y Y
Country of residence:		
The exercise of any option a number is not stipulate	(please list any additional individuals on a separate she ons under the Terms and Conditions must be authorised bed, by at least one authorised signature. Please provide thes. Where there is any change to the Authorised Signatorion	by the requisite number of Authorised Signatories or, where the names and sample signatures of all those who will

change (Meteor will be entitled to rely on the previous list until it is informed otherwise).

Signed:	Name:	Date: D	M	М	Υ	Υ
Signed:	Name:	Date: D	M	М	Υ	Υ
Signed:	Name:	Date: D	M	М	Υ	Υ
Signed:	Name:	Date: D	М	М	Υ	Υ
Signed:	Name:	Date: D	М	М	Υ	Υ

2. Tax Status

Please list all countries where the Trust is resident for tax purposes (*please list any additional countries on a separate sheet*). This usually means the country(ies) where you have an obligation to pay taxes or file tax returns. Please also provide your associated Tax Identification Number (TIN). A TIN is the tax reference number issued to you by the tax office in the country where you are resident for tax purpose. Where the country does not issue a TIN, please provide an equivalent reference.

Country	TIN	
Country	TIN	
Country	TIN	
Country	TIN	

If the Trust has a Global Intermediary Identification Number (GIIN) issued by the US Inland Revenue Service, please provide it below.

GIIN:

3. Provision of Information

Online Communications only Paper-based correspondence (0.25% initial charge)

I understand that I will only receive future details of my account via email and online, and that no further paper correspondence will be sent to me.

I prefer to continue to receive all details of my account, including valuation statements, by post. I understand that this will incur an additional initial account charge of 0.25%.

Please ensure that a valid email address is inserted in section 1

If you do not have a personal email address, or you would like emails to be sent to a different address please state that email address below.

Alternative email address:

By signing the Declaration for your application you are authorising us to send notifications and information in relation to your investment to the email address you have provided.

For security purposes, please provide us with a password so we can give you information over the telephone:

4. Investment (minimum £5000)

Plan No.	Plan Name	Amount (£)	Adviser Charge % or £
2416	FTSE® Defensive Kick Out Plan June 2019		

Please indicate how you have acquired the money you are investing:

Accumulated savings	Property Sale
Employment	Reinvestment of matured funds
Inheritance	Transfer from another provider
Pension lump sum	Other (please describe)
Please indicate the method of payment:	

Please make your cheque payable to **Meteor Investment**Management Limited Client Account. If you are sending us a building society cheque it should include your name in brackets on the payee line.

Meteory HSB

Electronic payment

If you send money by bank transfer, the details you require are:

Meteor Investment Management Limited Client Account HSBC Bank plc - Queen Victoria Street Branch

Sort Code: 40-05-30

Account Number: 13692752 IBAN: GB21MIDL40053013692752

5. Your bank details

Cheque

Bank:			Acco	unt holder(s):	
Account Number:					
Bank sort code:	-	-	Refe	rence (if any)/	
			Roll	number:	



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6. Appropriateness Questions

using	the tick boxes, please answer all 15 questions below				
1.	Have you been investing for 5 years or more?		Yes	No	
2.	Have you received investment advice? If yes, go to quest	ion 4, if no you should not apply.	Yes	No	
3.	Looking at the categories of investor set out on page 4, w characteristics best describes you?	hich one of the following			
	Basic Investor Informed Inv	vestor			
	Advanced Investor				
4.	Please indicate if you hold, or have held, any of the follow	wing investments?			
	Bank Deposits Structured	d Products			
	Direct equity investment Unit Trust:	s			
5.	Have you received and read a copy of the Key Information	n Document (KID) for this Plan?	Yes	No	
6.	Are you investing for income or growth?		Income	Growth	
7.	Do you understand the various factors that will influence	-			
	investment returns payable from this Plan, and that in the conditions you may not receive any growth payment?	ne event of adverse market	Yes	No	
8.	Are you willing and financially able to bear the risks of the loss of your money?	Yes	No		
9.	Do you understand the benefits of diversification and the your overall investment portfolio?	at this Plan should only form part of	Yes	No	
10.	Do you understand that the Plan is designed to be held for if you were to cash in early the amount you receive would Securities at the date of sale and that this value could be	d depend on the value of the	Yes	No	
11.	Do you understand that if the Counterparty were unable Plan matures, or on earlier encashment, you may lose so well as any growth payment to which you would otherwi	me, or all, of your investment, as	Yes	No	
12.	Do you understand the charges associated with the Plans	?	Yes	No	
13.	Do you understand the tax implications of the investmen	nt?	Yes	No	
14.	Do you understand the compensation arrangements app	licable to the Plan?	Yes	No	
15.	Are you the type of investor that this Plan is designed for brochure?	; as set out on pages 3 and 4 of the	Yes	No	
7 Δ,	dviser Details				
	Name:	Financial Services Register N	lumber:		
Advise		Branch:			

8. Adviser Declaration

- I confirm that all dealings with the investor have been carried out in accordance with the requirements of the FCA Handbook and in accordance with my obligations under Meteor's current Terms of Business.
- I acknowledge my responsibility to evaluate all information on the Plan and confirm that where I have given advice, I have the necessary knowledge and experience to be deemed competent to assess the Plan and its suitability to an applicant's circumstances and investment objectives.
- ▶ I have provided the investor with a Plan Brochure and Key Information Document.
- ▶ I declare that this application has been completed to the best of my knowledge and belief and I have agreed any adviser charge with the applicant.
- I confirm that I have carried out the appropriate identity checks on all parties relevant to this application and have retained copies of the completed Verification of Identity Certificates and supporting documentation, which I understand Meteor may request at any time and may rely on.
- ▶ I confirm that I have determined that the applicant meets the criteria to invest in this Plan.

Signed:	Date:		М	M	Υ	Υ	Υ	Υ
Signeu:	Date:				Υ	Y	Y	Y

9. Declaration

I/We declare that I/we:

- have carefully read the Key Information Document and the Brochure, including the Plan Terms and Conditions.
- ▶ agree that Meteor will hold personal and financial information on me/us for the purposes set out in the Terms and Conditions only.
- > accept the terms under which the Plan will be managed and the mode of providing me/us with information concerning the Plan.
- apply as Trustees/Authorised Parties for an Account to be opened in accordance with Meteor standard Terms and Conditions.
- apply as Trustees/Authorised Parties for the Plan listed overleaf.
- have completed this form to the best of my/our knowledge and belief and the information given in the application, whether in handwriting or not, is true and complete.
- ▶ am/are not, or acting on the behalf of, a resident of the United States and that I/we will not assist any person who is a resident.
- agree to inform Meteor immediately should I/we/the Trust become resident(s) of the United States.
- > agree to inform Meteor immediately should there be any change in the Trust's residency for tax purposes.
- if the investing scheme is a registered pension scheme under Part 4 of the Finance Act 2004 (or an application for its registration has been made) I/we undertake to advise Meteor immediately if it ceases to be a registered pension scheme or if its application for registration is withdrawn or refused.
- will tell Meteor if the Scheme is not granted exempt approval or if that approval is withdrawn.
- will inform Meteor without delay of any change in my/our circumstances affecting any of the information in this form.

I/We confirm that:

- my/our powers of investment, and delegation of those powers, permit me/us to invest the assets of the Scheme(s).
- the investing scheme is a registered pension scheme under Part 4 of the Finance Act 2004 (or an application for its registration has been made) and I/We undertake to advise Meteor immediately if it ceases to be a registered pension scheme or if its application for registration is withdrawn or refused.
- I/we will tell Meteor if the Scheme is not granted exempt approval or if that approval is withdrawn.
- I/we understand and agree that any investments in the Plan will be allocated in accordance with my/our instructions to Meteor.
- this application and the Terms and Conditions referred to above shall form the basis of the contract between me/us and Meteor. I/ we acknowledge receipt of the Terms and Conditions, further copies of which are available on request.
- my/our financial adviser is not acting as agent to the Issuer or its affiliates.
- I/we have agreed the amount of any initial adviser charge for these investments as shown overleaf and note that the agreed terms will be confirmed to me by Meteor on acceptance of such instruction.

I/We understand that:

- Meteor does not provide investment advice and confirm that I/we have received advice on this investment from a financial adviser as shown above.
- this application and the Terms and Conditions referred to above shall form the basis of the contract between me/us and Meteor. I/we acknowledge receipt of the Terms and Conditions, further copies of which are available on request.

I/We authorise Meteor:

to hold my/our cash subscription, Direct investments, interest, dividends and other rights or proceeds in respect of those investments and any cash or other proceeds.

Signed:	Date:		M	M	Υ	Υ	Υ	Υ
Signed:	Date:		М	M	Υ	Υ	Υ	Υ