

### FTSE®/STOXX Defensive Kick Out Plan June 2019

# **Account Application for Trustees**

Please complete this form in full using BLOCK CAPITALS and clearly in blue or black ink. For extra applications, visit our website at www.meteoram.com.

1. Your details															
Trust Name:															
Address for															
correspondence:								Postcode	e:						
T 5T 1/16															
Type of Trust (if applicable):							ame of dminist	crators:							
Contact Name:															
Email:								Tel no:							
Legal Entity Identifier:															
Please list details for Trustees and Beneficiaries with 25% or more beneficial ownership (additional individuals on a separate sheet):															
Beneficiary 1								Beneficia	ry 2						
Full name:															
Permanent address:															
			Post	code:							Postc	ode:			
Date of birth:		ММ	Υ	YY	Υ				M M		Y	Υ			
Occupation:															
National Insurance (NI):															
Trustee 1								Trustee 2							
Full name:															
Permanent address:															
			Post	code:							Postc	ode:			
Date of birth:		M	Υ	YY	Υ				M M		Y	Υ			
Country of residence:															
Authorised signatures of the exercise of any option a number is not stipulate be Authorised Signatoric change (Meteor will be exercise).	ons under ed, by at le es. Where t	the Terms a east one au here is any	and Cond thorised change	ditions m signatur to the Au	ust be e. Plea thorise	authoris se provi ed Signa	sed by t de the tories,	the requising names and please not	d sample signa	tures	s of all	those	who	will	
				Nai	me:				Date	D		M	M	Υ	Υ
Signed:															
Signed:				Naı	me:				Date	D		М	М	Υ	Υ
					me:				Date			M	M	Y	Y
Signed:				Nai						D		M M	M M	Y Y Y	Y Y

### 2. Tax Status

Please list all countries where the Trust is resident for tax purposes (*please list any additional countries on a separate sheet*). This usually means the country(ies) where you have an obligation to pay taxes or file tax returns. Please also provide your associated Tax Identification Number (TIN). A TIN is the tax reference number issued to you by the tax office in the country where you are resident for tax purpose. Where the country does not issue a TIN, please provide an equivalent reference.

Country	TIN	
Country	TIN	
Country	TIN	
Country	TIN	

If the Trust has a Global Intermediary Identification Number (GIIN) issued by the US Inland Revenue Service, please provide it below.

GIIN:

### 3. Provision of Information

### Online Communications only Paper-based correspondence (0.25% initial charge)

I understand that I will only receive future details of my account via email and online, and that no further paper correspondence will be sent to me.

I prefer to continue to receive all details of my account, including valuation statements, by post. I understand that this will incur an additional initial account charge of 0.25%.

#### Please ensure that a valid email address is inserted in section 1

If you do not have a personal email address, or you would like emails to be sent to a different address please state that email address below.

Alternative email address:

By signing the Declaration for your application you are authorising us to send notifications and information in relation to your investment to the email address you have provided.

For security purposes, please provide us with a password so we can give you information over the telephone:

### 4. Investment (minimum £5000)

Plan No.	Plan Name	Amount (£)	Adviser Charge % or £
2415	FTSE®/STOXX Defensive Kick Out Plan June 2019		

### Please indicate how you have acquired the money you are investing:

Accumulated savings	Property Sale
Employment	Reinvestment of matured funds
Inheritance	Transfer from another provider
Pension lump sum	Other (please describe)
Please indicate the method of payment:	

Please make your cheque payable to **Meteor Investment**Management Limited Client Account. If you are sending us a building society cheque it should include your name in brackets on HSBC Bank plc - Queen Victoria Street Branch

Sort Code: 40-05-30 Account Number: 13692752 IBAN: GB21MIDL40053013692752

**Electronic payment** 

### 5. Your bank details

the payee line.

Cheque

Bank:			Acco	unt holder(s):	
Account Number:					
Bank sort code:	-	-	Refe	rence (if any)/	
			Roll	number:	



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### 6. Appropriateness Questions

Using	the tick	a boxes, piease answer all 15 q	uestions below					
1.	Have you been investing for 5 years or more?						No	
2.	Have you received investment advice? If yes, go to question 4, if no you should not apply.					Yes	No	
3.	Looking at the categories of investor set out on page 4, which one of the following characteristics best describes you?							
	Basic I	nvestor	Informed Investor					
	Advan	ced Investor						
4.	Please	indicate if you hold, or have	held, any of the following ir	vestments?				
	Bank [	Deposits	Structured Produ	ıcts				
	Direct	equity investment	Unit Trusts					
5.	Have y	ou received and read a copy	of the Key Information Docu	ment (KID) for this	Plan?	Yes	No	
6.	Are yo	u investing for income or gro	wth?			Income	Growth	
7.	-	u understand the various factor						
		ment returns payable from th ions you may not receive any		nt of adverse marke	t	Yes	No	
8.	-	u willing and financially able your money?	to bear the risks of this inve	stment, including t	the risk of	Yes	No	
				-		v		
9.		u understand the benefits of diverall investment portfolio?	liversification and that this	Plan should only fo	rm part of	Yes	No	
10.		u understand that the Plan is	_					
		were to cash in early the amo ties at the date of sale and th				Yes	No	
11.	-	understand that if the Coun						
		natures, or on earlier encashn s any growth payment to whic			nent, as	Yes	No	
12.	Do you	uunderstand the charges asso	ociated with the Plan?			Yes	No	
13.	Do you	uunderstand the tax implicat	ions of the investment?			Yes	No	
14.	Do you	understand the compensation	on arrangements applicable	to the Plan?		Yes	No	
15.	Are yo	u the type of investor that thi ure?	is Plan is designed for, as se	out on pages 3 and	d 4 of the	Yes	No	
7. Ad	dviser	Details						
	Name:			Financial Service	s Register N	umber:		
Advis	er•			Branch:				

### 8. Adviser Declaration

- I confirm that all dealings with the investor have been carried out in accordance with the requirements of the FCA Handbook and in accordance with my obligations under Meteor's current Terms of Business.
- I acknowledge my responsibility to evaluate all information on the Plan and confirm that where I have given advice, I have the necessary knowledge and experience to be deemed competent to assess the Plan and its suitability to an applicant's circumstances and investment objectives.
- ▶ I have provided the investor with a Plan Brochure and Key Information Document.
- ▶ I declare that this application has been completed to the best of my knowledge and belief and I have agreed any adviser charge with the applicant.
- I confirm that I have carried out the appropriate identity checks on all parties relevant to this application and have retained copies of the completed Verification of Identity Certificates and supporting documentation, which I understand Meteor may request at any time and may rely on.
- ▶ I confirm that I have determined that the applicant meets the criteria to invest in this Plan.

Signed:	Date:		М	M	Υ	Υ	Υ	Υ
Signeu:	Date:				Υ	Y	Y	Y

### 9. Declaration

#### I/We declare that I/we:

- have carefully read the Key Information Document and the Brochure, including the Plan Terms and Conditions.
- ▶ agree that Meteor will hold personal and financial information on me/us for the purposes set out in the Terms and Conditions only.
- > accept the terms under which the Plan will be managed and the mode of providing me/us with information concerning the Plan.
- apply as Trustees/Authorised Parties for an Account to be opened in accordance with Meteor standard Terms and Conditions.
- apply as Trustees/Authorised Parties for the Plan listed overleaf.
- have completed this form to the best of my/our knowledge and belief and the information given in the application, whether in handwriting or not, is true and complete.
- ▶ am/are not, or acting on the behalf of, a resident of the United States and that I/we will not assist any person who is a resident.
- agree to inform Meteor immediately should I/we/the Trust become resident(s) of the United States.
- > agree to inform Meteor immediately should there be any change in the Trust's residency for tax purposes.
- if the investing scheme is a registered pension scheme under Part 4 of the Finance Act 2004 (or an application for its registration has been made) I/we undertake to advise Meteor immediately if it ceases to be a registered pension scheme or if its application for registration is withdrawn or refused.
- will tell Meteor if the Scheme is not granted exempt approval or if that approval is withdrawn.
- will inform Meteor without delay of any change in my/our circumstances affecting any of the information in this form.

### I/We confirm that:

- my/our powers of investment, and delegation of those powers, permit me/us to invest the assets of the Scheme(s).
- the investing scheme is a registered pension scheme under Part 4 of the Finance Act 2004 (or an application for its registration has been made) and I/We undertake to advise Meteor immediately if it ceases to be a registered pension scheme or if its application for registration is withdrawn or refused.
- I/we will tell Meteor if the Scheme is not granted exempt approval or if that approval is withdrawn.
- I/we understand and agree that any investments in the Plan will be allocated in accordance with my/our instructions to Meteor.
- this application and the Terms and Conditions referred to above shall form the basis of the contract between me/us and Meteor. I/ we acknowledge receipt of the Terms and Conditions, further copies of which are available on request.
- my/our financial adviser is not acting as agent to the Issuer or its affiliates.
- I/we have agreed the amount of any initial adviser charge for these investments as shown overleaf and note that the agreed terms will be confirmed to me by Meteor on acceptance of such instruction.

### I/We understand that:

- Meteor does not provide investment advice and confirm that I/we have received advice on this investment from a financial adviser as shown above.
- this application and the Terms and Conditions referred to above shall form the basis of the contract between me/us and Meteor. I/we acknowledge receipt of the Terms and Conditions, further copies of which are available on request.

#### I/We authorise Meteor:

to hold my/our cash subscription, Direct investments, interest, dividends and other rights or proceeds in respect of those investments and any cash or other proceeds.

Signed:	Date:		M	M	Υ	Υ	Υ	Υ
Signed:	Date:		М	M	Υ	Υ	Υ	Υ